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Attorneys for Defendant  
CARL W. JASPER

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

CARL W. JASPER,

Defendant.

CASE NO. CV 07-6122 (JW)

**ADR CERTIFICATION BY DEFENDANT  
CARL W. JASPER AND COUNSEL**

Judge: Hon. James Ware  
Date: April 7, 2008  
Time: 9:00 a.m.  
Courtroom: 8, Fourth Floor

1 Pursuant to Civil L.R. 16-8 (b) and ADR L.R. 3-5 (b), each of the undersigned  
2 certifies that he or she has:

3 (1) Read the handbook entitled "*Dispute Resolution Procedures in the*  
4 *Northern District of California*" on the Court's ADR Internet site [www.adr.cand.uscourts.gov](http://www.adr.cand.uscourts.gov);

5 (2) Discussed the available dispute resolution options provided by the Court  
6 and private entities; and

7 (3) Considered whether this case might benefit from any of the available  
8 dispute resolution options.

9  
10 Dated: March 20, 2008

Respectfully submitted,

11 CARL W. JASPER

12 By \_\_\_\_\_/S/

13 Carl W. Jasper

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16 Steven M. Bauer

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19 Risha N. Jamison

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22 David M. Friedman

23 Attorneys for Carl W. Jasper

24 Carl W. Jasper